

Sanctions Screening

Protect your company's reputation in a rapidly changing sanctions environment with LSEG Post Trade's Sanctions Screening solution, powered by World-Check® data.



Investment firms in the UK and EEA have obligations under MiFIR to monitor potential and existing counterparties for sanctions. Ongoing geopolitical events have increased the frequency of sanctions reviews and driven changes in AML standards and practices, resulting in the removal of a growing number of sanctioned counterparties.

Regulators require firms to be accountable, transparent and responsible for the quality of their reporting – including the accuracy and completeness of data submitted, and timeliness of reporting, even if this has been delegated. Enhanced counterparty screening automation is the key to keeping pace and remaining compliant.

Request details

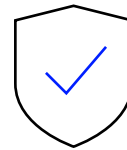
- No onboarding costs
- No new data feeds or data sourcing required
- Leverage the reporting data you send to LSEG Post Trade
- No integration needed

[Click here to request details >](#)

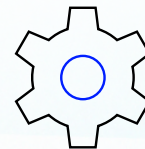
Our solution

Built on our enhanced technology and powered by global sanctions data from World-Check®, our **Sanctions Screening solution forms a second line of defence** – flagging any counterparty with whom you might be prohibited from doing business. The solution helps you ensure the MiFIR data sent to your regulator is checked and validated against your sanctions screening process.

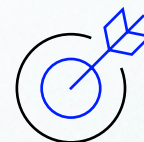
Key benefits



Protect your company's reputation and avoid potential sanctions



Enhance your counterparty screening automation, increase efficiency and reduce costs



Gain confidence in the accuracy of your regulatory reporting

Features

Avoid potential regulatory sanctions and protect your firm's reputation

- Receive aggregated email alerts flagging counterparties you may be prohibited from doing business with
- View transaction reports by counterparty legal entity identifier (LEI), which may reduce false positive matches, so your team can spend less time on filtering output
- Drill down into alert summaries and associated World-Check® data for any potentially sanctioned LEIs to investigate impacted MiFIR transaction details

Enhance your counterparty screening automation, increase efficiency and reduce costs

- Help validate records sent to the regulator continuously with a second-line defence, efficient counterparty screening process
- Increase efficiency, reduce costs by minimising false positive matches and time-consuming output filtering, and reduce error-prone manual processes
- Improve your compliance team's visibility and ability to action alerts effectively with a fully auditable process flow for identified entities

Gain confidence in the accuracy of your regulatory reporting and improve transparency

- Have greater confidence that the data sent to your regulator is checked and validated
- Improve transparency with access to full transaction reports and World-Check® data on potentially sanctioned entities
- Drill down into the list of impacted entities from World-Check® data on possible sanctioned entities

Summary screen, aggregating by counterparty LEI

Alert Status	Detail	Trade Date	Alert Date
1 Open	Executing Entity <LEI123> has submitted <Number> transactions where reported counterparty <LEI456> matches with World-Check screening data <UID789>.	01 Nov 2022 00:00:00.000	02 Nov 2022 00:00:00.000
2 Open	Executing Entity <LEIABC> has submitted <Number> transactions where reported counterparty <LEIDEF> matches with World-Check screening data <UIDGHI>.	01 Nov 2022 00:00:00.000	02 Nov 2022 00:00:00.000

Drilldown to the impacted transactions

Trading Date Time	Trading Capacity	Quantity	Quantity Type	Price	Price Type	Price Currency
2022-03-01 08:11:24.0		14	Unit	103.75	MntryValAmt	SEK
2022-03-01 08:22:31.0		4	Unit	103.45	MntryValAmt	SEK
2022-03-01 16:58:44.307008	DEAL	8081	Unit	99.00545	MntryValAmt	SEK
2022-03-01 16:12:12.929341	DEAL	27	Unit	99.42	MntryValAmt	SEK
2022-03-01 16:17:45.418591	DEAL	10	Unit	99.33	MntryValAmt	SEK
2022-03-01 16:22:48.620267	DEAL	5	Unit	99.53	MntryValAmt	SEK
2022-03-01 16:29:33.036691	DEAL	335	Unit	99	MntryValAmt	SEK
2022-03-01 08:04:12.913271	DEAL	10	Unit	104.2	MntryValAmt	SEK

Drilldown to the World-Check® sanction information

LEI	LAST NAME	ALIASES	CATEGORY	SUB-CATEGORY	IDENTIFICATION	LOCATIONS	FURTHER INFORMATION
2307733			CORPORATE	SOE	(JIFIN) (INT-LEI)	- Vienna, Vienna - AUSTRIA	[MAJORITY OWNED -] [MAJORITY INDIRECT STATE OWNED] [BIOGRAPHY] [Ranking]

Why choose us?

With years of industry expertise and trusted data accuracy, we deliver insights that innovate your operations, so regulation is no longer a hindrance – it's an opportunity. Turn reactive into proactive – get ahead of regulations and upskill your teams through access to our services and solutions.

Request details

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About World-Check®

World-Check® is a risk intelligence database which helps organisations across the world meet their regulatory obligations, make informed decisions and help prevent them from inadvertently being used to launder the proceeds of financial crime or association with corrupt business practices.



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